



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:  
**CHARLES ROBERT MOORE**

Serial No.: **09/598,434**

Filed: **JUNE 22, 2000**

Title: **PROCESSOR AND METHOD OF  
EXECUTING LOAD  
INSTRUCTIONS OUT-OF-ORDER  
HAVING REDUCED HAZARD  
PENALTY**

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Attorney Docket No.: **AT9-99-451**

Examiner: **CHANG, J.**

Group Art Unit: **2154**

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicant requests that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. 1.97. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2). Copies of the references are included herewith.

**CERTIFICATE OF MAILING**  
37 CFR 1.8(A)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, Virginia 22313-1450.

2/3/04

Date

Catherine D. Helser

Signature

Please charge **IBM Corporation Deposit Account No. 09-0447** in the amount of \$180.00 for this IDS, as set forth in §1.17(p).

No additional fee is believed to be necessary; however, in the event that any additional fee is required, please charge it to **IBM Corporation Deposit Account No. 09-0447**.

Respectfully submitted,

A handwritten signature in cursive script, reading "Brian F. Russell".

Brian F. Russell  
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ATTORNEY FOR APPLICANTS

Substitute form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>		
				Application Number	09/598,434	
				Filing Date	June 22, 2000	
				First Named Inventor	Moore	
				Group Art Unit	2154	
				Examiner Name	Chang	
Sheet	1	of	1	Attorney Docket Number	AT9-99-451	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials	IDS Doc #	U.S. Patent Document Number	Name of Patentee or Applicant		Publication Date MM-DD-YYYY	
		5,742,785	Stone et al.		04-1998	
		6,360,314	Webb et al.		03-2002	
		5,706,464	Moore et al.		01-1998	
		5,778,245	Papworth et al.		07-1998	
		5,664,215	Burgess et al.		09-1997	
		6,370,625	Carmean et al.		04-2002	
		6,349,382	Feiste et al.		02-2002	
<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials	IDS Doc #	Office	Foreign Patent Document No.	Name of Patentee or Applicant	Date of Publication	English Translation
<b>OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS</b>						
Examiner Initials	IDS Doc #	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				English Translation
Examiner Signature					Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.